

# Modelling and Analysis of Wi-Fi and LAA Coexistence with Priority Classes

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# Outline

- 1 Introduction
- 2 Wi-Fi EDCA and LAA AC Coexistence Analytical Model
- 3 Performance Evaluation
- 4 Conclusions
- 5 References

# Abbreviations

- LAA : Licensed Assisted Access
- LTE-U : Long Term Evolution in Unlicensed Spectrum
- AP : Access Point
- QoS : Quality of Service
- EDT : Energy Detection Threshold
- LBT : Listen-Before-Talk
- EDCA : Enhanced Distributed Channel Access
- DTMC : Discrete Time Markov Chain
- AC : Access Categories
- CCA : Clear Channel Assessment

# Introduction

- The phenomenal growth in mobile data demand
- Limited and costly license spectrum
- One promising solution is to use unlicensed spectrum (LAA/LTE-U)
- Major challenge in unlicensed is fair coexistence with Wi-Fi

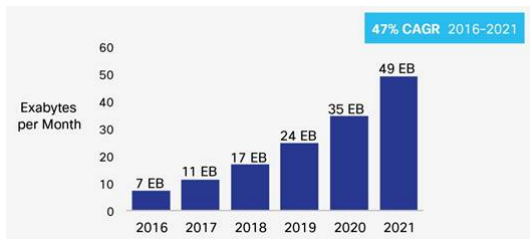


Figure 1 : Growth in Mobile Traffic<sup>1</sup>

<sup>1</sup>Reference: Cisco VNI, Mobile 2017

# LTE MAC Vs Wi-Fi MAC

	<b>LTE</b>	<b>Wi-Fi</b>
Multiple access <sup>2</sup>	Multiple users served simultaneously, occupying different frequencies in channel	only 1 user is served at a time, takes up entire channel spectrum
Channel usage	Frames are contiguous, so channels are approximately always on	Channel is occupied only when packets needs to be transmitted
Channel access	Centralized scheduling on DL and UL. LTE does not contend, it simply transmits	Distributed Coordination Function (DCF), contention-based
Collision avoidance	None, b/c channel access are centrally scheduled	CSMA/CA + RTS/CTS (In principle, LBT)
Co-existence	Has not had the need to be able to coexist with other technologies	Already coexists well with other technologies in unlicensed band, although with no common fairness mechanism

<sup>2</sup>Reference: On the Impact of LTE-U on Wi-Fi Performance, International Journal of Wireless Information Networks, 2015

# LTE in Unlicensed: Channel Access Approaches

## ① LTE-U (Without LBT)

- ① **CSAT<sup>3</sup> (Carrier Sensing Adaptive Transmission)**: In countries USA, Korea, India LBT is not mandatory. So mobile operator can deploy LTE in unlicensed based on 3GPP Rel. 10/11/12 (Carrier Aggregation) with CSAT like channel access scheme without LBT.

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<sup>3</sup>Reference: LTE in Unlicensed Spectrum: Harmonious Coexistence with Wi-Fi, Qualcomm white paper, 2014

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## 2 LAA

- 1 **With LBT**: In Europe and Japan LBT is mandatory. So mobile operator has to follow LBT channel access scheme to use unlicensed band. In Rel 13 LTE in unlicensed with LBT for channel access is explained which is called LAA<sup>4</sup>.

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<sup>3</sup>Reference: LTE in Unlicensed Spectrum: Harmonious Coexistence with Wi-Fi, Qualcomm white paper, 2014

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# IEEE 802.11e (EDCA)

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- EDCA is an extension of DCF protocol to support QoS.
- To prioritize the traffic four types of Access Categories (ACs) are introduced in EDCA.
- Similar to EDCA, in LAA also we have ACs to support QoS.

# Wi-Fi ACs Vs LAA ACs

Table 1 : Wi-Fi EDCA Parameters

	Class	$CW^{MIN}$	$CW^{MAX}$	AIFSN	Retry Limit (M)	TXOP
AC[3]	BK	15	1023	7	7/4	1 frame
AC[2]	BE	15	1023	3	7/4	1 frame
AC[1]	VI	7	31	2	7/4	3.008 ms
AC[0]	VO	3	15	2	7/4	1.504 ms

Table 2 : LAA Downlink Channel Access Parameters

Priority Class	$CW^{MIN}$	$CW^{MAX}$	$m$	Retry Limit at $CW^{MAX}$	Transmission Duration $\Gamma$
4	15	1023	7	4	8 ms
3	15	63	3	4	8 ms
2	7	31	1	4	3 ms
1	3	15	1	4	2 ms

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- Hence, we focus on the performance evaluation of EDCA and LAA priority classes.
- We propose a new mathematical model for LAA and Wi-Fi coexistence capable of describing the performance of eight priority classes in EDCA and LAA.

# Contributions

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- We show that Wi-Fi throughput decreases when RTS/CTS is enabled for Wi-Fi, while LAA classes increase their throughput.

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- We show that LAA is unfair with Wi-Fi in terms of throughput.
- We show that Wi-Fi throughput decreases when RTS/CTS is enabled for Wi-Fi, while LAA classes increase their throughput.
- Finally, to improve the fairness between Wi-Fi and LAA systems, we propose to reduce the LAA transmission opportunity.

# Wi-Fi EDCA and LAA AC Coexistence Analytical Model

Table 3 : Notation used in the analytical model

Notation	Description
$CW_{i,r}$	Contention window size
$\sigma$	Slot duration
$M_i$	Maximum retransmission attempts
$PC_i$	Collision probability
$PB_i$	Backoff countdown blocking probability
$b_{i,r,z}$	Steady state probability of $(i,r,z)$
$\tau_i$	Transmission Probability
	EDCA
$AIFSN_i$	Adaptive inter frame space number
SIFS	Short inter frame space
$n_w$	Number of Wi-Fi devices
$N_i$	Number of Wi-Fi packets in a TXOP
$T_{phy}$	Phy overhead transmission time
$D_{mac}$	Size of MAC overhead
$D_{ack}$	Size of ACK frame
$D_{data}$	Size of data payload
$BR$	Base transmission rate
$DR$	Data transmission rate
	LAA
$n_l$	Number of LAA devices
$\Gamma_i$	Duration of an LAA Class $i$ transmission
$T_l$	Half of a LTE slot duration
$T_{sframe}$	Duration of a LTE sub-frame

# Assumptions

- Only downlink traffic from the eNB to the LAA users is present in the network;
- The channel is assumed to be ideal, *i.e.*, packet loss is only caused by collisions;
- No frame capture effect at the receivers;
- All nodes (LAA eNB and Wi-Fi AP) are within the carrier sense range, *i.e.*, there are no hidden nodes;
- Saturated traffic condition at all nodes;
- LAA and EDCA use the same slot time  $\sigma$ .

## DTMC Model for Wi-Fi EDCA and LAA

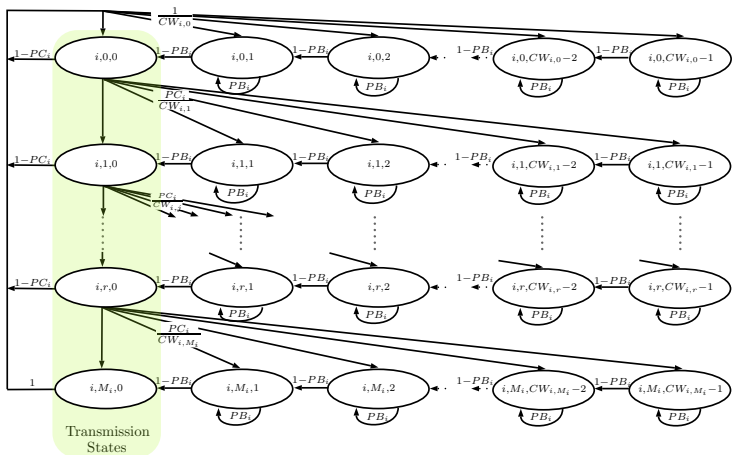


Figure 2 : DTMC model for Wi-Fi EDCA and LAA LBT.

## Cont..

- We jointly solved the DTMC model for Wi-Fi and LAA to find the transmission probabilities of each ACs in Wi-Fi ( $\tau_i^w$ ) and LAA ( $\tau_i^l$ ).



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- We jointly solved the DTMC model for Wi-Fi and LAA to find the transmission probabilities of each ACs in Wi-Fi ( $\tau_i^w$ ) and LAA ( $\tau_i^l$ ).
- The transmission probabilities ( $\tau_i^w$  and  $\tau_i^l$ ) are function of backoff parameters, probabilities of collision ( $PC$ ), probabilities of successful transmission( $PS$ ), and probability of an idle slot( $P_{idle}$ ).

## Possible Events in a Slot

- Idle Slot:

$$P_{\text{idle}} = \left(1 - \sum_{i=0}^3 \tau_i^w\right)^{n_w} \left(1 - \sum_{i=0}^3 \tau_i^l\right)^{n_l}. \quad (1)$$

- Successful Transmission:

$$PS_i^x = n_x \tau_i^x \left(1 - \sum_{k=0}^3 \tau_k^{\bar{x}}\right)^{n_{\bar{x}}} \prod_{j \geq i} (1 - \tau_j^x)^{n_x - 1} \prod_{s < i} (1 - \tau_s^x)^{n_x}. \quad (2)$$

- Collision: We identified four type of collisions each with different channel time duration.  $PC^{ww}$ ,  $PC^{wl}$ ,  $PC^{l_i, l_i}$ ,  $PC^{l_i, l_j}$ .
- The transmission probabilities and the event probabilities form a non-linear system of equations which we solved using numerical methods.

## Average Duration of Contention Slot

The average duration of a contention slot  $T_{cs}$  is calculated by multiplying the probability of each event by their channel occupancy as given below.

$$\begin{aligned}
 T_{cs} = & \sum_{i=0}^3 PS_i^w (\min [AIFS_i] + T_s^w \cdot N_i - SIFS) \\
 & + \sum_{k=0}^3 \left( PS_k^l + PC^{wlk} + PC^{lk^l} \right) \cdot (\Gamma_k + T_l) \\
 & + \sum_{j=0}^2 PC^{l^3l_j} \cdot (\Gamma_3 + T_l) + \sum_{s=0}^1 PC^{l^2l_s} \cdot (\Gamma_2 + T_l) \\
 & + PC^{l^1l_0} \cdot (\Gamma_1 + T_l) + PC^{ww} \cdot T_c^{ww} + P_{idle}\sigma, \quad (3)
 \end{aligned}$$

where,  $N_i$  is the number of Wi-Fi frames transmitted in the TXOP of traffic Class  $i$ ;  $T_s^w$  and  $T_c^{ww}$  are respectively the time durations of a successful and colliding Wi-Fi transmissions, given by

## Cont..

$$T_s^w = T_{phy} + \frac{D_{mac}}{BR} + \frac{D_{data}}{DR} + 2 \cdot SIFS + \frac{D_{ack}}{BR}; \quad (4)$$

$$T_c^{ww} = \min[SIFS + \sigma AIFSN_i] + T_{phy} + \frac{D_{mac}}{BR} + \frac{D_{data}}{DR} + ACK_{Timeout}; \quad (5)$$

where  $T_{phy}$  is the physical layer overhead;  $D_{mac}$ ,  $D_{data}$  and  $D_{ack}$  are respectively the size of the mac header, data payload, and ack frame;  $DR$  and  $BR$  are the data and base transmission rates.

## Throughput of Wi-Fi and LAA in coexistence scenario

The throughput of Class  $i$  of Wi-Fi is given by

$$Th_i^w = \frac{PS_i^w N_i \frac{D_{\text{data}}}{DR}}{T_{\text{cs}}} \quad (6)$$

The throughput of LAA for Class  $i$  is given by

$$Th_i^l = \frac{13}{14} \frac{1}{T_{\text{cs}}} \left[ PS_i^l \Gamma_i + PC_i^{wl} (\Gamma_i - P_{\text{fc}} \cdot T_{\text{sframe}}) + \sum_{k < i} PC_i^{lk} (\Gamma_i - \Gamma_k) \right], \quad (7)$$

where  $T_{\text{sframe}}$  is the duration of an LTE sub-frame, and  $P_{\text{fc}}$  is the probability that a Wi-Fi transmission causes the first sub-frame to be lost.

## Extension to Wi-Fi with RTS/CTS

For this, the following aspects of the model need to be modified:

- 1 The maximum number of retransmissions ( $M_i$ ) for all Wi-Fi classes is increased to 7;
- 2  $P_{fc}$ , the probability that a collision between Wi-Fi and LAA occurs before the beginning of an LAA slot is equal to the ratio of a RTS frame transmission time and an LTE slot time (0.5 ms).
- 3 The expressions for  $T_c^{ww}$  and  $T_E$  are given by (8) and (9);

## Cont..

$$T_c^{ww} = \min[\text{SIFS} + \sigma \text{AIFSN}_i] + T_{phy} + \frac{D_{rts}}{BR} + \text{RTS}_{\text{Timeout}}. \quad (8)$$

$$\begin{aligned}
 T_E = & \sum_{i=0}^3 PS_i^w \cdot \left( \min[\text{SIFS} + \sigma \text{AIFSN}_i] + T_{phy} \right. \\
 & \left. + \frac{D_{rts}}{BR} + \text{SIFS} + T_{phy} + \frac{D_{cts}}{BR} + T_s^w \cdot N_i - \text{SIFS} \right) \\
 & + \sum_{k=0}^3 \left( PS_k^l + PC^{wl_k} + PC^{lk_lk} \right) \cdot (\Gamma_k + T_l) \\
 & + \sum_{j=0}^2 PC^{l3lj} (\Gamma_3 + T_l) + \sum_{r=0}^1 PC^{l2lr} \cdot (\Gamma_2 + T_l) \\
 & + PC^{l1l0} (\Gamma_1 + T_l) + PC^{ww} \cdot T_c^{ww} + P_{idle} \sigma. \quad (9)
 \end{aligned}$$

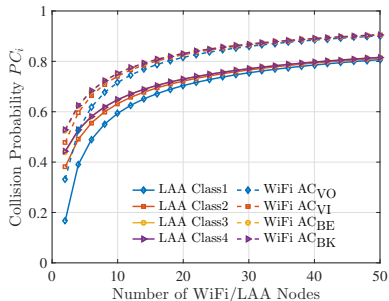
# Performance Evaluation

Table 4 : Wi-Fi & LAA Parameters

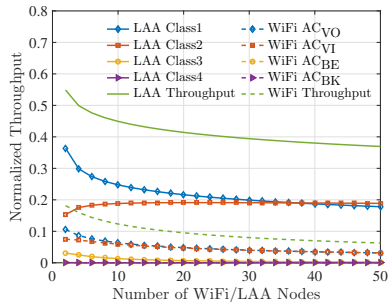
Wi-Fi parameters		LAA Parameters	
Parameter	Value	Parameter	Value
$DR, BR$	54 Mbps, 6 Mbps	Data Rate	70.2 Mbps
$\sigma, SIFS$	$9 \mu s, 16 \mu s$	$\sigma$	$9 \mu s$
$D_{ack}, D_{mac}$	112, 272 bits	$T_f$	$16 \mu s$
$T_{phy}, ACK_{Timeout}$	$20 \mu s, 50 \mu s$	$T_{sframe}$	$1 ms$
$D_{data}$	1470 bytes	$T_l$	$0.25 ms$



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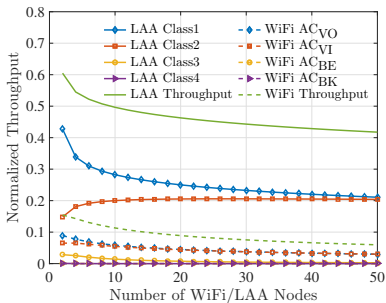
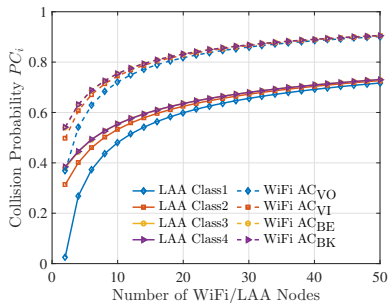
(a) Collision probability of LAA and Wi-Fi.



(b) Throughput for Each Priority Class.

Figure 3 : LAA and Wi-Fi coexistence performance with priority classes,  $n_w = n_l = N/2$ , where  $N$  is the total number of nodes in the network.

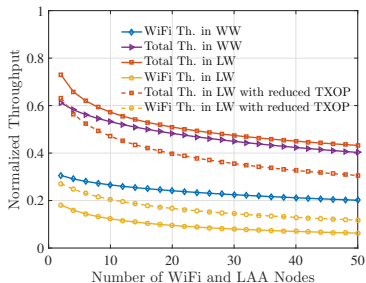
# Performance Evaluation with RTS/CTS



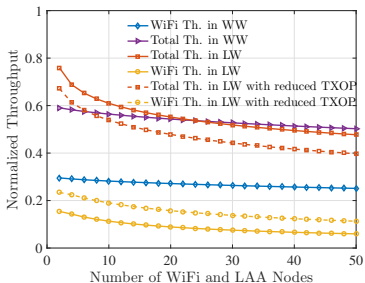
(a) Collision prob. of LAA and Wi-Fi. (b) Throughput for each priority class.

Figure 4 : LAA and Wi-Fi coexistence performance with RTS/CTS enabled,  $n_w = n_l = N/2$ , where N is the total number of nodes in the network.

# Performance Evaluation with Reduced TXOP



(a) Throughput without RTS/CTS.



(b) Throughput with RTS/CTS.

Figure 5 : Wi-Fi and Total Throughput (*i.e.*, Wi-Fi + LAA) in Wi-Fi only scenario (WW) and coexistence scenario (LW).

# Performance Evaluation (Fairness)

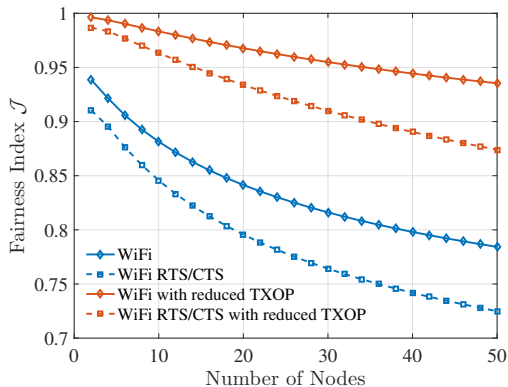


Figure 6 : Wi-Fi and LAA Fairness.

# Conclusions

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- In future, the proposed model can be easily extended to hidden terminal case, unsaturated traffic case.

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LTE in Unlicensed Spectrum: Harmonious Coexistence with Wi-Fi



## LTE-LAA 3GPP TR 36.889 Release 13

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# Queries



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Thank you!